

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on November 5, 2001.

Signature

Christine Sherwood

Applicant : Samuel T. Barone, et al.  
Application No. : 09/945,005  
Filed : August 31, 2001  
Title : SYSTEM AND METHOD FOR DISPLAYING  
CLOSED CAPTIONS IN AN INTERACTIVE TV  
ENVIRONMENT  
Grp./Div. : 2611  
Examiner : To be assigned  
Docket No. : 47049/PAN/M770

RECEIVED  
DEC 10 2001  
Technology Center 2600INFORMATION DISCLOSURE STATEMENT  
37 CFR § 1.97(b)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
November 5, 2001

Commissioner:


In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER &amp; HALE, LLP

By

  
Peter A. Nichols  
Reg. No. 47,822  
626/795-9900

PAN/cks

Enclosures: PTO-1449, w/references

CKS PAS393027.1\*-11/5/01 4:40 PM

FORM PTO-1449



## INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	47049/PAN/M770
Application Number	09/945,005
Filing Date	August 31, 2001
Applicant(s)	Samuel T. Barone, et al.
Group Art Unit	2611
Examiner Name	To be Assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,002,394	12/1999	Schein et al.	345	327	RECEIVED
	5,990,927	11/1999	Hendricks et al.	348	6	DEC 10 2001
	5,610,665	03/1997	Berman	348	564	Technology Center 2600

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS

EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER SIGNATURE		DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PAN/cks

CKS PAS93025.1\*-11/5/01 4:41 PM